



Australian Government

Greater Mekong Subregion Cross Border Transport Facilitation Agreement (CBTA) 'Early Harvest' Implementation

Training Module Two – **Permit Issuance**

Developed under Project TA-8748 REG Sub-project 2 (SP2) - Support for Implementing the Action Plan for Transport and Trade Facilitation in the GMS

Supported by the Asian Development Bank and Australian Department of Foreign Affairs and Trade

- Based on the CBTA 'Early Harvest' MOU, each GMS country can issue up to 500 GMS Road Transport Permits for goods and passenger vehicles owned and operated by licensed transport operators from their country
- The proportion of Permits allocated to goods vehicles and to passenger vehicles shall be decided by the National Transport Facilitation Committee (NTFC) and Permit Issuing Authority in each country
- Each Permit has a unique reference number and corresponding Temporary Admission Document (TAD)
- Vehicles must carry the original Permit and TAD with them on all GMS cross-border journeys
- 500 Permits will be printed by ADB on behalf of each Issuing Authority before the CBTA 'Early Harvest' launch

- The national Issuing Authority for GMS Road Transport Permits in each country is as follows:

- Kingdom of Cambodia

National Transit Transport Coordinating Committee

- People's Republic of China

Ministry of Transport of China

- Lao People's Democratic Republic

Department of Transport

- Republic of the Union of Myanmar

National Transport Facilitation Committee

- Kingdom of Thailand

Department of Land Transport

- Socialist Republic of Vietnam

Directorate for Roads of Viet Nam



- The Permits are A4 page size and with the exception of the header identical for all countries
- Each Permit is valid for up to one year and renewable subsequently on request
- Countries will initially issue Permits until 31 May 2019

National Transit Transport Coordinating Body, Cambodia



GMS Road Transport Permit¹

This document is issued in accordance with Protocol 3 and Article 23 of the Agreement between and among the Governments of the Kingdom of Cambodia, the People's Republic of China, the Lao People's Democratic Republic, the Union of Myanmar, the Kingdom of Thailand, and the Socialist Republic of Viet Nam for the Facilitation of Cross-Border Transport of Goods and People.

Permit No. _____

Country code	Permit Number	Barcode
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Type of Permit/Transport Operation:² Scheduled passenger Non-scheduled passenger Cargo

1. Issuing Authority:

1.1 Name: _____

1.2 Address: _____

1.3 Contact Data:³ _____

2. Beneficiary of the Permit:⁴

2.1 Name: _____

2.2 Address: _____

2.3 Contact Data: _____

2.4 Road Transport Operator's National License:⁵ _____

3. Particulars for Scheduled Passenger Transport Operations Only:

3.1 Itinerary: _____

3.2 Frequency of operations for the beneficiary: _____

3.3 Maximum Capacity (number of seats/persons): _____

3.4 Other Restrictions:⁶ _____

4. Period of Validity:⁷ from _____ until _____

5. Allocated Vehicle Registration Number:⁸

Prime mover/Truck/Bus		Semi-trailer		Prime mover/Truck/Bus		Semi-trailer	
1				5			
2				6			
3				7			
4				8			

Place and date of Issuance: _____ Authentication (Seal/Stamp, Signature): _____

1. Warning: Counterfeiting is a criminal offence. This permit entitles its holder to perform cross-border road transport operations in the GMS region, subject to compliance with national laws of the Host Country, and the other conditions of the Agreement. The transport operator shall keep the original of this permit on board the vehicle at all times during cross-border transport operations for inspection and control purposes by authorities. This permit shall be valid only for the vehicle of which the registration number is entered on the permit form. Except for scheduled passenger transport operations, the itineraries are restricted to the exit/entry point, routes, and corridors defined in Protocol 1 to the Agreement.

2. Please tick the type of transport operation.

3. Contact data may include: telephone number, fax number, email address, etc.

4. This permit is nominative and non-negotiable and non-transferable.

5. The validity of this permit shall be subject to the validity of the holder's transport operator license.

6. Other restrictions on this type of transport operations flowing from the arrangements on terms and conditions by the Country whose territory is traversed as per Article 5(b) of Protocol 3 to the Agreement.

7. Provided the permit was used before the expiry of its validity date by entering the territory of another GMS country than that of its holder transport operator, it shall remain valid until the completion of the transport operation by the return of the vehicle to its Home Country. A cross-border transport operation shall be completed by the exit of the vehicle from the Host Country territory within a period of 30 days from the date of entry in the Host Country territory. If the transport operator is unable to timely leave the Host Country territory, he/she shall inform the Host Country Competent Authority and may be required to file a request for extension.

8. Type of vehicle should be entered. Only the number(s) entered in the last box prevail(s). If the form has become full within its validity period, the issuing authority shall upon a simple request from its holder forthwith replace the original form.

Permit No.

Country code	Permit Number	Barcode

Type of Permit/Transport Operation:²

<input type="checkbox"/> Scheduled passenger	<input type="checkbox"/> Non-scheduled passenger	<input type="checkbox"/> Cargo
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- The Country code is that of the distinguishing sign for motor vehicles of that country: Cambodia: KH, People's Republic of China: CHN, Lao PDR: LAO, Myanmar: MYA, Thailand: T, and Vietnam: VN
- The Permit number must follow the format agreed between Contracting Parties and numbered between 0001 and 0500 i.e. PER-GMS/KH-0001 or PER-GMS/VN-0500 (as examples of the first Permit for Cambodia and the last Permit for Vietnam)
- Barcodes will not be used at this stage but may be added later
- The Issuing Authority should mark the Type of Permit i.e. (Non)-Scheduled passenger or Cargo with a cross or "X"

1. Issuing Authority:

1.1 Name:

1.2 Address:

1.3 Contact Data:

- The details of the Issuing Authority should be the same as on the 'Early Harvest' brochures e.g. for Lao PDR:

▶ In Lao PDR, GMS Road Transport Permits and TADs can be obtained from the



Department of Transport
Lanxang Avenue, Vientiane, Lao PDR
Tel: +856 21 412 287 • Fax: +856 21 415 563
Email: dot_laos@hotmail.com

Greater Mekong Subregion
Cross-Border Transport Facilitation Agreement



LAO PEOPLE'S DEMOCRATIC REPUBLIC
CBTA "EARLY HARVEST" IMPLEMENTATION GUIDE

What is the Greater Mekong Subregion Cross-Border Transport Facilitation Agreement?

- The 6 member countries of the Greater Mekong Subregion (i.e. Cambodia, China, Lao PDR, Myanmar, Thailand and Viet Nam) ratified the Cross-Border Transport Facilitation Agreement (CBTA) in 2015.
- This allowed the Agreement to enter into force. However, some parts of the CBTA are outdated and need to be revised.
- The GMS Transport Ministers, as members of the 'Joint Committee' responsible for managing the CBTA, agreed in 2016 to launch the 'Early Harvest' implementation of the CBTA in 2017, and to complete the CBTA revision process by 2019.
- <https://www.ash.org/lines/4676/4676/publication/29294/gms-cbta-instruments-history.pdf> has the full CBTA text.

What is the CBTA 'Early Harvest'?

- The 'Early Harvest' is based on a Memorandum of Understanding (MOU) signed by the 6 GMS countries in the period to July 2017.
- The full text of the MOU is available at www.greatmekong.org/hf/
- The MOU allows each GMS country to issue up to 500 GMS Road Transport Permits and Temporary Admission Documents (TADs) for goods and passenger vehicles registered, owned and/or operated in that country.
- Vehicles can travel on any of the routes and across any of the border crossings listed in CBTA Protocol 1. For Lao PDR, movements in and out of the country will be limited initially to the Barten, Huayxay, Damsaerh and Savannakhet border crossings along national Route 3 and Route 9. Myanmar will join the 'Early Harvest' in 2019 (see colour-coded map reflecting these decisions at www.greatmekong.org/hf/).
- Vehicles must carry with them the original Permit and TAD issued by the competent authorities in their Home country (i.e. the country the vehicle is registered in) and can remain in any other participating GMS country for up to 30 days on each journey.
- The TAD can be thought of as a vehicle 'passport' and must be stamped by the Customs department of each Host (i.e. the other GMS) country visited on entry and on exit, to ensure the 30-day time limit is observed.
- There is no limit to the number of cross-border journeys allowed.
- Specimen Permits and TADs are available at www.greatmekong.org/hf/

July 2017

2. Beneficiary of the Permit:⁴

2.1 Name:

2.2 Address:

2.3 Contact Data:

2.4 Road Transport Operator's National License:⁵

- The details of the Permit beneficiary should be completed along with their Road Transport Operator's national license number
- In order to obtain Permits and TADs, the vehicle operator must fulfill the conditions described in Articles 2, 3, 4, 5 and 6 of Annex 9 of the CBTA, i.e. the **operator must be:**

- a) A licensed cross-border transport operator in the Home country in which the Permit/TAD is issued and at least 51% owned and directed by citizens of this country;
- b) Free from issuing country convictions or sanctions, with a clean legal record and no current and/or unresolved bankruptcy proceedings;
- c) Professionally competent to operate (i.e. with respect to legality, operational management, knowledge of road safety and technical issues relevant to the road transport business in the countries in which it plans to operate); and
- d) Financially solvent and insured for any operational and/or contractual liability.

- For all Permits issued, these and other particulars must also be recorded in a national **Permit and TAD Register** (an Excel Workbook shared by all countries) and the Register updated to reflect any subsequent changes made

A	B	C	D	E	F	G
Language	Permit Number	Issue Date	Place of Issue	Expiry Date (Valid Until)	Extended Until	Issuing Authority
English	PER-GMS/LAO-0001					
National						
English	PER-GMS/LAO-0002					
National						

H	I	J	K	L	M	N
Motor Vehicle TAD Number	Name of Transport Operator	Address	Province	Telephone	E-mail	Name of Vehicle Owner (If different from Operator)
TAD-GMS/LAO-0001						
TAD-GMS/LAO-0002						

- The national Permit and TAD Register must also record details of the TAD and the Transport Operator and the particulars of the vehicle(s) used

S	T	U	V	W	X	Y	Z
Type of Vehicle	Registration Number	Vehicle Category	Date of Registration	Registered at Province	Brand	Model	VIN Number

3. Particulars for Scheduled Passenger Transport Operations Only:

3.1 Itinerary: _____

3.2 Frequency of operations for the beneficiary: _____

3.3 Maximum Capacity (number of seats/persons): _____

3.4 Other Restrictions:⁶ _____

- Further details are required for scheduled passenger transport operations for which the itinerary, frequency and maximum number of seats/passengers must be shown

4. Period of Validity:⁷ from _____ until _____

5. Allocated Vehicle Registration Number:⁸

Prime mover/Truck/Bus Semi-trailer Prime mover/Truck/Bus Semi-trailer

1		5	
2		6	
3		7	
4		8	

Place and date of Issuance: _____ Authentication (Seal/Stamp, Signature): _____

- The period of validity must be recorded
- The vehicle registration number of the main vehicle and that of any semi-trailer (if used) must also be entered – noting that the Permit is vehicle specific and **may only be used for one vehicle at a time**
- If the registration number of the allocated vehicle is changed it must be recorded in the next available box (1-8) and the **corresponding TAD re-issued** and national Permit and TAD Register updated
- The place and date of issuance must be recorded and the Permit signed and/or stamped by the Issuing Authority for authentication

- Further details on the CBTA ‘Early Harvest’, including the text of the signed ‘Early Harvest’ MOU, specimen copies of the Permits and TADs and copies of the EH brochures, are available at www.greatermekong.org/ttf
- Questions related to Early Harvest implementation may be addressed to ADB as follows:

Ms. Kanya Sasradipoera (kanyass@adb.org)

Thank you!

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